

# Policy Framework from December 2010 to December 2016

## Common Operational Framework (COF)

- To be effective as part of the COF, each chapter needs to have been adopted by the Fund Council and the Consortium
- The common framework agreed between the Fund Council and the Consortium on all operational aspects that apply to funding and implementation of the SRF (including CRPs), regardless of funding source or implementing entity
- The System Council and the System Management Board have endorsed all current policies and guidelines previously adopted as part of the COF

Common Operation Framework	Consortium Approval date	FC Approval date	Effective Date	
<a href="#">CGIAR Non-Research System Costs Financing</a>	Sept. 8, 2011 (CB6)	Nov. 8, 2011 (FC6) <sup>1</sup>	Nov. 8, 2011	
<a href="#">CGIAR Glossary2</a>	May 5, 2011 (CB4)	April 5, 2011	April 5, 2011	
<a href="#">CGIAR Financial Management (2007)</a> Financial Guidelines Series, No. 1	*	*		
<a href="#">CGIAR Accounting Policies &amp; Reporting Practices Manual (2006)</a> Financial Guidelines Series, No. 2	*	*		
<a href="#">CGIAR Auditing Guidelines (2010)</a> Financial Guidelines Series, No. 3	*	*		
<a href="#">CGIAR Cost Allocation Guidelines (2008)</a> Financial Guidelines Series, No. 5	June 14, 2013 (CB12)*	*	Start date of CRP1	
<a href="#">CGIAR Procurement of Goods, Works and Services Guidelines (2008)</a> Financial Guidelines Series, No. 6	*	*	Start date of CRP1	
<a href="#">CGIAR Investment Policy Guidelines</a>	October 3, 2013 (CB13)		Pending	
Templates for annual reporting from the Consortium to the Fund Council concerning the CRPs	For year 2011	March 1, 2012 (CB7)	March 8, 2012 (FC7) <sup>3</sup>	Reporting year 2011
	For years 2012 and 2013	N/A	March 2013 <sup>4</sup>	Reporting years 2012-2013
	For year <a href="#">2014 and 2015</a>	TBD	TBD	Reporting years 2014-2015
<a href="#">CGIAR Principles on the Management of Intellectual Assets</a>	March 1, 2012 (CB7)	March 7, 2012	March 7, 2012	
<a href="#">CGIAR Policy for Independent External Evaluation</a>	September 8, 2011 (CB6)	November 9, 2011 (FC6) <sup>5</sup>	Feb. 1, 2012	

\*Not approved as part of the COF but applicable to the Centers through the CRP funding agreements.

<sup>1</sup> The Non-Research System Cost Financing paper was initially approved by the FC at its 3<sup>rd</sup> meeting in Washington DC Nov. 1-2, 2010 (cf. agenda item 7 FC3 summary [here](#)). This revised version was approved by the FC on a no objection basis at its meeting on November 8, 2011 (cf. agenda item 4 FC6 summary [here](#)).

<sup>2</sup> Initially approved by the CB on Dec. 16, 2010 and by the FC on Nov. 2, 2010.

<sup>3</sup> Approved as an interim reporting template for reporting on 2011 work (cf. agenda No. 13 of FC7 meeting summary available [here](#)).

<sup>4</sup> Amendments were made to the 2011 reporting template and discussed between Consortium representatives and a reference group of donors and approved on a no objection basis by the FC in March 2013 for use for reporting on years 2012 and 2013.

<sup>5</sup> Per Agenda item no. 14 of FC6 meeting summary available [here](#).